



REGINA CAPITAL

Development Corporation

MEMBER: PHILIPPINE STOCK EXCHANGE
 Unit 806, Tower 1, PSE Plaza, Ayala Avenue, Makati City.
 Website: www.reginacapital.com

Tel. No.: (632) 848-5482 to 84
 Fax No.: (632) 848-5483
 Exchange No.: (632) 891-9415 to 17 (Globe) 759-4007
 Exchange Tel Nos.: (632) 891-9410
 (632) 891-9411
 (632) 891-9411 to 17
 Email Address: rcdc@reginacapital.com

CUSTOMER ACCOUNT INFORMATION FORM

Customer Code: _____

When making payments please ask for a **Provisional Receipt (PR)**. The **Official Receipt (OR)** will follow five days thereafter.

Account Type:			
<input type="checkbox"/> Cash	<input type="checkbox"/> Individual	<input type="checkbox"/> Partnership	<input type="checkbox"/> Corporation
<input type="checkbox"/> Discretionary	<input type="checkbox"/> Margin	<input type="checkbox"/> Institutional	
NAME:		TIN:	
RES. ADDRESS:		SSS/GSIS NO.:	
E-MAIL ADDRESS:		RES. TEL. NO.:	
OCCUPATION:		NAME OF EMPLOYER:	
BUS. NAME:		ADDRESS OF EMPLOYER:	
BUS. ADDRESS:			
BUS. TEL. NO.:		COMPANY TEL. NO.:	
NATIONALITY:	DATE OF BIRTH:	PLACE OF BIRTH:	
Are you associated with another Broker or Dealer? <input type="checkbox"/> YES <input type="checkbox"/> NO			

Investment Objective: Speculation Long – Term Investment

Annual Income: _____ Assets: _____ Net Worth: _____

Are you an Officer or Director of a Listed Company? YES NO

If Yes:	Name of Company	Position
	_____	_____
	_____	_____

For Corporate Account:

Business Name:	Business Address:	Tin:
Business Tel. No.:	Fax No.:	Nature of Business:
Email address:	Source of Funds:	

Authorized Signatories:

<u>Name</u>	<u>Signature</u>	<u>Designation</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____

Confirmation to be sent to: _____ Relationship: _____

Address: _____

_____ Signature over printed name of Customer (or Attorney-in-fact)	_____ Date
_____ Signature over printed name of Person authorized to exercise in account	_____ Date
_____ Signature over printed name of Salesman introducing the account	_____ Date
_____ Signature over printed name of Officer or Manager accepting the account	_____ Date

Please sign at the Back

This is to inform you that REGINA CAPITAL DEVELOPMENT CORP. (RCDC) will act as my broker in connection with my securities transactions, I hereby agree to the following terms and conditions:

1. For the purpose of buying, selling or performing other authorized acts herein, I hereby irrevocably appoint and constitute RCDC, its officers, employees, or successors and assigns, as my true and lawful attorney-in-fact, with full power or authority to buy, sell, lend or borrow securities, or otherwise act for any of my accounts (whether operated individually or jointly with other), to agree upon the price of securities, execute to the purchaser(s) thereof, or such other instruments in writing or documents as may be necessary, and to deliver or accept delivery of the corresponding stock certificates and/or instruments of assignment, hereby ratifying all acts of RCDC done which may be done pursuant to the authority conferred herein.
All transactions shall be subjected to the constitution, rules, regulations, customs and usage of the Philippine Stock Exchange, Inc., the Securities and Exchange Commission, the Philippine Clearing House, RCDC and the laws of the Philippines as may be amended from time to time.
2. Any orders by me for the purchase or sale of securities shall be binding on RCDC only upon its issuance of the confirmation notice. RCDC does not warrant that any order placed can be or has been executed unless the confirmation notice is issued. The contents of confirmation notices sent to my address indicated herein shall be deemed conclusive upon me as to their correctness, unless within twenty-four (24) hours from the receipt thereof, written of any objected is served upon RCDC.
3. I agree that when RCDC has executed a purchase or sale transaction on my behalf, I shall deliver the full payment of the purchase price or make good delivery of sold securities to RCDC against payment, as the case may be, not later than four (4) days after the date of transaction (the settlement date). All payments to RCDC may specify and shall be made without set off or counter claim and free clear of and without deduction for, or on accounts of, all present and future taxes, levies, imposts, stamp duties, deductions, charges and withholdings. Payments made by check shall have the effect of payment only when cleared by the drawee bank.
4. In the event my cash account is not liquidated within three (3) days from the settlement date, or whenever in its sole discretion RCDC considers it necessary for its own protection, I hereby specifically authorize and empower RCDC without need of prior notice or demand, to sell so much of the securities in my account (s) (whether herein carried individually or jointly with others) and herein delivered as collateral, necessary for the payment of any of my obligations to RCDC. I hereby guarantee that such securities are free from all liens and encumbrances, it being expressly understood that in the event of any such liens are later discovered which prevent the subsequent negotiation of the said securities and collect from me whatever amount RCDC may incur by reason of such buyback, including damages which it may suffer or may required to pay. It is hereby agreed and understood that I shall at all times be liable for the payment of any unpaid balance owing, if any, on my account(s) together with interest, provided that I shall remain liable for any deficiency remaining in any such account(s) in the event of liquidation. RCDC shall be entitled to a reasonable compensation for attorney's fees and cost of collection, in the event of any controversy of litigation arising out of this Agreement. It is agreed that the venue of action for any litigation shall be in the proper courts of the Municipality of Makati, any other venue of suit being waived.
5. In case of joint accounts, it shall be expressly indicating whether the same is an "and/or" or an "and" account with all parties to said account signing there under. Unless otherwise indicated, a joint account shall be deemed to be an "and/or" account. For "and" accounts, the consent of all the signatories must first be obtained prior to the execution of any instruction, orders or communication. For "and/or" accounts, the consent of any one of the signatories shall be sufficient to bind the other signatories. Securities now and hereafter held in their account shall be owned by them as their joint property with the frights of survivorship. RCDC shall be held free and harmless against any and all losses, damages, costs, penalties, fines and taxes which may be incurred as result of any action taken or not taken by RCDC (1) in reliance upon the instruction, orders, or communications believed by RCDC to be those of one of the signatories; (2) in reliance upon the attestation of the signatories that all of them are still living on the date of the transaction made by any or all of them. Liability arising herein shall be deemed to be solidary liability of the signatories of this account.
6. RCDC will provide me with monthly statements (in such form as RCDC may determine) settling forth the list of securities held for my account/s, if any, and details of all transactions entered into since the date of the proceeding statement (if any) and containing such further information as RCDC may consider relevant.
7. I understand that the stock market is rapidly changing market there is an inherent risk in incurring loss in securities transactions which shall be for my account. For this reason, I hereby hold RCDC free and harmless against any and all costs, losses, damages, fines, penalties, and taxes which may incur arising out or in connection with the exercise of any of its functions and powers herein authorized except arising from the wilful default or gross negligence of RCDC or any of its directors, officers, and employees.
8. I hereby unconditionally and irrevocably authorize and grant RCDC the absolute discretion to record or cause the recording using any device RCDC may deem appropriate or advisable (including, without limitation, tape recorders and other recording instruments) any telephone communication or spoken word between the undersigned and any of the representatives of RCDC. In the course of, or in connection with, any transaction or dealing hereunder or which may relate or pertain hereto, and any such recording or any part thereof shall be admissible as evidence in any proceeding.
9. If any one or more of the provisions contained in this Agreement any other document hereinafter executed in connection herewith shall be deemed valid, illegal or unenforceable in any respect, the validity, legality and enforceability of the remaining provisions shall not in any way be affected or impaired.

10. All the information contained herein is complete, true and correct. RCDC is entitled to rely on such information until RCDC has received written notice from me of any change, herein, hereby authorize RCDC to conduct a credit inquiry or check on my financial situation and investment objectives.
11. All the above provisions are deemed incorporated by reference in all confirmation notices issued to me regarding execution of my orders. This Agreement shall continue to be in full force and effect until signed notice to revocation. It shall continue to be valid and binding as the transactions enters prior thereto.
12. **ONLINE TRADING FACILITY.** The Terms and Condition for the Online Trading Facility are incorporated by reference herein and are made an integral part of the general Terms and Conditions as if fully set forth herein:
 - a) I acknowledge that the Online Trading Facility and the Website, including the software and other contents thereof, are proprietary to you. I warrant and undertake that I shall not nor attempt to tamper with, modify, decompile, reverse engineer or otherwise alter in any way, or attempt to gain unauthorized access to any part of the Online Trading Facility or Website or any of the contents thereof. I also undertake to immediately notify you if I become aware of any actions described above being perpetrated by another person or entity.
 - b) I agree that, as a condition of using your Online Trading Facility, I shall notify you within twenty-four (24) hours if:
 - I placed an instruction through the Online Trading Facility and I do not receive an accurate acknowledgement, in any acceptable form, of my instruction or its execution;
 - I receive acknowledgement, in any acceptable form, of a transaction which I did not instruct or any similar occurrence; or if
 - I become aware of any unauthorized use of my access code. I acknowledge and agree that if I fail to immediately notify you when any of the above situations occur, you will not have any liability to me, or to any other person whose claim arises from me.
 - c) I acknowledge that I am solely responsible for any and all orders placed electronically in my account(s). Any investment decision that I make or investment strategy that I utilize is done so at my sole discretion and at my own risk. I understand that you provide no tax, legal or investment advice or any kind, nor give advice or offer any opinion with respect to the nature, potential value or suitability of any particular securities transaction or investment strategy. I further understand that while I may be able to access financial or investment information or services through your Online Trading Facility, the availability of such information does not constitute a recommendation to buy or sell any of the securities discussed therein, or utilize any investment strategy. Any investment decisions I make will be based solely on my own evaluation of my financial circumstances and investment objectives. Any order entered using my password is mine. If third parties gain access to your services, including my accounts, I will cooperate in defending and indemnifying you against any liability, costs or damages arising out of claims or suits based upon or relating to such access and use.
 - d) I am responsible for knowing the rights and terms of all securities in my account(s) and for monitoring the occurrence of any reorganizations or other events (e.g. stock splits and reverse stock splits) involving my securities. I acknowledge that certain securities may grant me valuable rights that may expire unless I take action on these rights, I understand that, except as required by law, you are not obligated to notify me of reorganizations or other events affecting the rights granted by my securities or, without specific instructions from me, to take any action on my behalf with respect to such events.
 - e) I understand and agree that you have the sole discretion to restrict trading on my Online Trading Facility or to cancel the same, at any time and without need of prior notice to me.
 - f) I understand that you will not be responsible for the accessibility of, transmission quality, outages to, or malfunction of any telephone circuits, computer hardware or software (including viruses and bugs) or related/incidental problems.
 - g) I agree that you shall not be liable for my inability to use the Online Trading Facility or for the access of the Website due to any unauthorized actions or transactions using my customer ID and password, or for any other cause beyond your control.
 - h) I agree that you reserve the right to suspend service and deny access to the Online Trading Facility, without prior notice, during scheduled or unscheduled system maintenance, repairs and upgrades. I also agree that you have the right to modify or enhance the Website or any of its pages and features at your sole discretion and without prior notice.
 - i) I understand that the Internet service for the Online Trading Facility is provided on best-efforts basis by third party Service Providers. Since you are not the provider of the Internet Service, you shall not be liable for performance, acts, or omission of the said internet service. Reasonable care and diligence has been taken by you in the choice of your Service Provider for the Online Trading Facility. However, there can be no assurance about the performance and availability of such facility through the Internet, and no such assurance is hereby made, and no assurance shall be deemed to have been made by you by virtue hereof.
 - j) I understand that entering an order with you, including market orders, does not guarantee execution of the order, and I agree that you shall not be responsible for any order that is not executed. I understand that you have the right to break any executed transaction on the grounds that it was, in your opinion, "clearly erroneous". You shall not be deemed to have received any order electronically transmitted by me until you have actual knowledge of such order. When I place a request to cancel an order, the cancellation of that order is not guaranteed. My order will only be cancelled if my cancellation request is received by the Philippine Stock Exchange and tallied with my order before my order is matched up or executed. During market hours, it is rarely possible to cancel my market order as market

orders are subject to immediate execution. I will not assume that any order has been executed or cancelled until I have received a transaction confirmation from you via the website. I am aware that you, from time to time, receive late reports from the exchange reporting the status of transaction. Accordingly, I may be subject to late reports related to orders that were previously unreported to me or reported to me as being expired, cancelled, or executed. In addition, any reporting or posting errors, including errors in execution prices, will be corrected to reflect what actually occurred in the market place.

- k) For use of the Online Trading Facility, I will create a password to enable me to place orders and access account information through the Website. I am the sole and exclusive owner and the only authorized user of such password and accept sole responsibility for use, confidentiality and protection of the password as well as for all orders and information changes (i.e., account profile changes such as change of address, etc.) entered into my account using such password. Any account profile change is as good as if I signed it on my original Customer Account Information Form (CAIF). I shall be liable for all transactions placed through the Online Trading Facility resulting from the use or misuse of my password. I accept full responsibility for the monitoring and safeguarding of my account(s). I will immediately notify you in writing, delivered via e-mail and registered mail. If I become aware of any loss, theft or unauthorized use of my password and account number, or any failure by me to receive a message from you indicating that an order was received and executed; or any failure by me to receive an accurate written confirmation of an execution; or any receipt by me of confirmation of an order and/or execution which I did not place; or any inaccurate information in my account balances, securities positions, or transaction history. You shall not be liable for any transaction or losses from my account despite receipt of my notice of loss or unauthorized use of my password if such transaction or loss occurred at or prior to the system tagging of my accounts, or failure of the computer machine to register such notice of loss or for any reason whatsoever. If I forget my password, I must answer a challenge question online and the system generated password will be sent to my registered email address. I will immediately change this password upon receipt.
- l) You will accept any amendments to my account profile as requested by me through my registered email address.
- m) The risk attendant to the use of the Online Trading Facility shall be for my account in as much as the use of the Online Trading Facility is electronically and system generated. I authorize you to make transactions in accordance with the order details received via the Online Trading Facility. You and your affiliates will not be liable for any consequential, incidental, special or indirect damage (including lost profits, trading losses, and damages) that result from inconvenience, delay or loss of the use of the Online Trading Facility even if you have been advised of the possibility of such damages. The use and storage of any information, including, without limitation, the password, portfolio information, transaction activity, account balances and any other information or orders available on my personal computer is at my own risk and is my sole responsibility. I am responsible for providing and maintaining the communications equipment (including personal computers and modems) and telephone or alternative services required for accessing and using the Website or related services, and for all communications, service fees and charges incurred by me in accessing the Website or related services.
- n) I understand that the PSE Data is copyright-protected. I agree not to frame or deep/direct link any information/webpage taken from the Website. This includes all information available to me before and after logging on to the Website.
- o) The Securities and Exchange Commission has granted RCDC exemptive relief from SRC Rules 52.1-7 – Order Ticket Rule, 30.2-2 – Confirmation of Customer Order and 52.1-8 – Customer Account Statement. RCDC will furnish me with confirmation and account statements via electronic mail to an email address I have provided. These shall be deemed binding if no written objection is made within 24 hours after these are sent.

My signature below affirms conformity of the foregoing conditions. Makati City, Metro Manila _____.

PRINT NAME & SIGN

Please print or write legibly. Submit with the forms and a photocopy of two valid IDs with a visible picture and signature.

CHECK	PRINT NAME	CITIZENSHIP
MR. <input type="checkbox"/>		
MRS. <input type="checkbox"/>		
MISS <input type="checkbox"/>	TIN NO.	
STOCK SPECIMEN SIGNATURE CARD		
Please	1.	
Sign		
Twice	2.	
ADDRESS:		
TELEPHONE		
<p>Important: Pursuant to the regulations of the Securities & Exchange Commission. No certificate can be Issued to a buyer unless he signs and Indicates his citizenship on this card. With respect to street certificate. The citizenship of the beneficial owner and not of the broker should be indicated. Please return this card to Suite 806 Tower 1 Ayala Ave., Makati City.</p>		<p>SIGNATURE VERIFIED BY</p> <p>REGINA CAPITAL DEVELOPMENT CORPORATION</p>

CHECK	PRINT NAME	CITIZENSHIP
MR. <input type="checkbox"/>		
MRS. <input type="checkbox"/>		
MISS <input type="checkbox"/>	TIN NO.	
STOCK SPECIMEN SIGNATURE CARD		
Please	1.	
Sign		
Twice	2.	
ADDRESS:		
TELEPHONE		
<p>Important: Pursuant to the regulations of the Securities & Exchange Commission. No certificate can be Issued to a buyer unless he signs and Indicates his citizenship on this card. With respect to street certificate. The citizenship of the beneficial owner and not of the broker should be indicated. Please return this card to Suite 806 Tower 1 Ayala Ave., Makati City.</p>		<p>SIGNATURE VERIFIED BY</p> <p>REGINA CAPITAL DEVELOPMENT CORPORATION</p>